



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barkalow, D., et al.
Appl. No.: 10/036,681
Filed: November 8, 2001
Title: PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF
MAKING THE SAME
Art Unit: Unknown
Examiner: Unknown
Docket No.: 112703-198

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
3,784,390	January 8, 1974	Hijiya et al.
4,562,020	December 31, 1985	Hijiya et al.
4,777,046	October 11, 1988	Iwakura et al.
5,217,735	June 8, 1993	Zibell
5,286,502	February 15, 1994	Meyers
5,376,388	December 27, 1994	Meyers
5,409,715	April 25, 1995	Meyers
5,451,673	September 19, 1995	Fishman et al.
5,433,960	July 18, 1995	Meyers

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
0 546 538 A1	June 16, 1993	Europe (and Abstract)
JP 93/236885 A	September 17, 1993	Japan
WO 00/18365	April 6, 2000	PCT
WO 00/18835	April 6, 2000	PCT

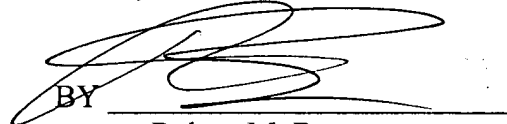
OTHER DOCUMENTS

EP 0 546 538 A1 and JP 93/236885 A are not in the English language. An abstract in English is included for 0 546 538 A1.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

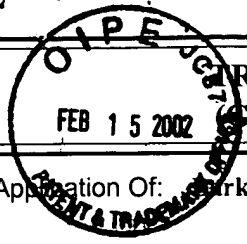
BELL, BOYD & LLOYD LLC


BY _____

Robert M. Barrett
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P.O. Box 1135
Chicago, Illinois 60690-1135
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0490

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TRANSMITTAL LETTER (General - Patent Pending)	Docket No. 112703-198
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In Re Application Of: **Markalow, D., et al.**

Serial No. 10/036,681	Filing Date November 8, 2001	Examiner Unknown	Group Art Unit Unknown
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Title: **PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING THE SAME**

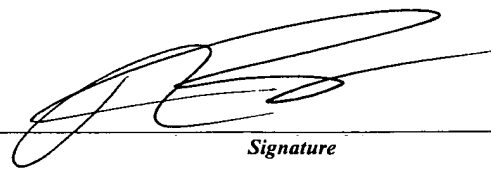
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal of Information Disclosure Statement (Duplicate); Information Disclosure Statement (2 pgs.); PTO Form 1449 (1 pg.) ; Thirteen References; One Abstract ; return receipt postcard.

in the above identified application.

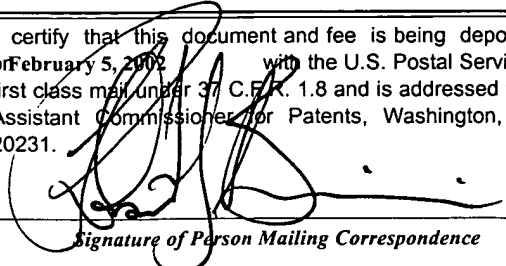
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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below. A duplicate copy of this sheet is enclosed.
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Signature

Dated: **February 5, 2002**

Robert M. Barrett (Reg. No. 30, 142)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
Tel: 312/807-4204
Fax: 312/372-2098

I certify that this document and fee is being deposited on February 5, 2002 with the U.S. Postal Service as first class mail under 39 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
 _____ Signature of Person Mailing Correspondence
Robert Buccieri _____ Typed or Printed Name of Person Mailing Correspondence

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

112703-198

In Re Application Of **Barkalov, D., et al.**

Serial No.

10/036,681

Filing Date

November 8, 2001

Examiner

Unknown

Group Art Unit

Unknown

Title: **PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING THE SAME**

Address to:

Assistant Commissioner for Patents

Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112703-198

In Re Application: Barkalow, D., et al.

Serial No.
10/036,681

Filing Date
November 8, 2001

Examiner
Unknown

Group Art Unit
Unknown

PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING THE SAME

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account

Signature

Dated: February 5, 2002

Robert M. Barrett (Reg. No. 30,142)

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